

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Dirk BASTING, Wolfgang ZSCHOCKE, Thomas SCHRODER, Juergen KLIENSCHMIDT, Matthias KRAMER and Uwe STAMM

Application No./Patent No.: 09/771,366 Filed/Issue Date: January 25, 2001

Titled: TUNABLE LASER WITH STABILIZED GRATING

COHERENT GmbH, a Corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
  2. ☐ an assignee of less than the entire right, title, and interest in  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %); or
  3. ☐ an assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)
- the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

Dirk BASTING, Wolfgang  
ZSCHOCKE, Thomas SCHRODER,  
Juergen KLIENSCHMIDT, Matthias

1. From: KRAMER and Uwe STAMM To: Lambda Physik AG  
The document was recorded in the United States Patent and Trademark Office at  
Reel 012185, Frame 0241, or for which a copy thereof is attached.
2. From: Lambda Physik AG To: Coherent Lambda Physik GmbH  
The document was recorded in the United States Patent and Trademark Office at  
Reel 024898, Frame 0109, or for which a copy thereof is attached.
3. From: Coherent Lambda Physik GmbH To: Coherent GmbH  
The document was recorded in the United States Patent and Trademark Office at  
Reel 024905, Frame 0269, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/Michael A. Stallman/  
Signature

10/01/2010

Date

Michael A. Stallman  
Printed or Typed Name

Attorney of Record for Assignee  
Title